		AL Apr	lication Number	10/616,082	
E		Fili	ng Date	July 8, 2003	
Parent Jees are	subject to annual revision.	Firs	t Named Inventor	S. Hamilton	
2007 3 )					
4. /	OF PAYMENT	6100	<u></u>		
	of TATIVIENT	Atto	rney Docket Number	GF0021YIB	
	MI	ETHOD OF PA	YMENT		
Deposit Account					
Deposit Account l	Number 13-2755	Deposit	Account Name Mer	rck & Co., Inc.	
e Director is aut	horized to:				
	ee(s) indicated below			overpayments	
	Charge any a		underpayments of fee	(s)	
4	FER	CALCULATIO	N		
S e Entity			el es 25.55. tata		
Fee			Sed Sed 7	12	
e (\$)		Fee Description	Box 1		Fee Paid
130	Surcharge - late fi	iling fee or oath	osta adc	2	
130	Non*English Spec	cification	Sport		
2,520	For filing a reque	st for ex parte ree	self self self self self self self self	eg te	
500	Filing a brief in si	apport of an appea	an en	<b>8</b> (	
500	Petition to revive	- unavoidable		<b>\$</b> \\	
	Petition to revive	- unintentional			
50	Processing fee un	der 37 CFR 1.17(	(b) y ce the d v ce th	4	
180	Submission of Inf	ormation Disclos	The State of the s	The state of the s	180
790	Filing a submission	on after final rejec	tion (37 CFR 1.129(a)	$\supset$	
790	For each addition	al invention to be	examined (37 CFR 1.1	129(b))	
130	Statutory Termina	ıl Disclaimer unde	er 37 CFR 1.321		
	Other fee (specify	·)			
	Other fee (specify	y) ————			
				TOTAL	\$180
D	SUBMITTE	BY		Complete (if	applicable)
Printed JOHN E	AVID REILLY			Reg. Number 43,039	
e /		Date	Q/ahina	Deposit Account	
	Deposit Account Deposit Accoun	MI Deposit Account Deposit Account Number 13-2755  The Director is authorized to:  Charge fee(s) indicated below  Charge any a under 37 CFI  FEE  See Entity Fee (S) 130 Surcharge - late find 130 Non*English Spectors 500 Filing a brief in sure 500 Petition to revive 1,500 Petition to revive 50 Processing fee under 180 Submission of Information Filing a submission of Information Filing a Submission of Information Statutory Termination Other fee (specification of Submission Other fee (specification other fee)  SUBMITTED	Printed   John-David Relianger   Printed   John-David Relianger	First Named Inventor Examiner Name Group Art Unit Attorney Docket Number  METHOD OF PAYMENT  Deposit Account Deposit Account Number  Deposit Account Number  Deposit Account Number  The Deposit Account Number  Deposit Account Number  Deposit Account Number  The Deposit Account Number  The Deposit Account Number  Deposit Account Number  The Deposit Account Number  T	First Named Inventor   S. Hamilton   Examiner Name   M.K. Joike   Group Art Unit   1636   Autorney Docket Number   GF0021YIB

MISC. FEE TRANSMITTAL

Complete if Known

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Serial No.

S. HAMILTON

10/616,082

Filed: July 8, 2003

For: EXPRESSION OF CLASS 2 MANNOSIDASE AND CLASS III

MANNOSIDASE IN LOWER EUKARYOTIC CELLS

Art Unit: 1636

Examiner: M.K. Joike

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

Sir:

- 1. In compliance with 37 C.F.R. 1.97, submitted on the attached form herewith is a list of patents, publications or other information which are requested to be made of record in this application. This Information Disclosure Statement is not an admission that any patent, publication or other information referred to herein is "prior art" for this invention. In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b).
- <sup>2</sup> 2. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.
- 3. Applicants respectfully request that the Examiner initial the attached form after reviewing the pertinence of each reference.
- 4. Pursuant to 37 C.F.R. 1.98 (a)(2)(ii), copies of each cited U.S. patent and each U.S. patent application publication are not enclosed herewith.

US/14/2007 AAHHADI 00000002 132755 10616082 01 FC:1806 180.00 DA

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on the date appearing below.

MERCK & CO., INC.

Date

**RELATED APPLICATION** 

**MERCK CASE** 

**FILING DATE** 

## INFORMATION DISCLOSURE STATEMENT

5. Pursuant to 37 C.F.R. 1.98(d), copies of references listed on the attached form that were submitted to or cited by the Office in a related application upon which the instant application relies for an earlier filing date under 35 U.S.C. 120 are not enclosed. Related application(s) in which references were submitted to or cited by the Office are as follows:

U. S. SERIAL NUMBER

If this is inconvenient, additional copies will be submitted upon request							
6. In accordance with 37 C.F.R. 1.97, (check one)							
the attached information is filed within three months of the filing dat	e of the captioned case.						
the attached information is filed more than three months after the file.  Office Action on the merits.	ling date but prior to the m	ailing of a first					
the attached information is filed before the mailing of a first Office a examination under §1.114.	ction after the filing of a re	quest for continued					
the attached information is being filed more than three months after Office Action on the merits, but before the mailing date of a Final Action otherwise closes prosecution in the application. The enclosed authoration, Account No. 13-2755 for the fee required under 37 C.F.R. 1.17(p).	ction, Notice of Allowance,	or an action that					
	each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.						
each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the information disclosure statement.							
no item of information contained in this Information Disclosure State foreign patent office in a counterpart foreign application, and, to the after making reasonable inquiry, was known to any individual desig months prior to the filing of this Statement.	knowledge of the person	signing the certification					
	Respectfully submitted,						

<u>Attorney</u> For Applicant(s) Reg. No. <u>43,039</u>

MERCK & CO., INC. Patent Dept., RY60-30

P.O. Box 2000

Rahway, N.J. 07065-0907

(732)594-6914

0/

s	ubstitute for form 1449A/PTO			COMPLETE IF KNOWN		
I	NFORMATION	<b>HSCLOSU</b>	RE	Application Number	10/616,082	
	/ 0	6/		Filing Date	July 8, 2003	
3	STATEMENT BY	3 2007	NI	First Named Inventor	S. Hamilton	
	12			Group Art Unit	1636	
	(use as many sheets	as necessary)		Examiner Name	M.K. Joike	
Sheet	1	of 4	4	Attorney Docket Number	GF0021YIB	

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.	U.S. Patent Document Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY		
·		2006/0160179		Bobrowicz et al.	07/20/2006		
		2006/0040353		Davidson et al.	02/23/2006		
		2006/0211085		Bobrowicz	09/21/2006		
		2006/0029604		Gerngross et al.	02/09/2006		
		2006/0078963		Gerngross	04/13/2006		
		2006/0177898		Gerngross	08/10/2006		
		2006/0148035		Gerngross	07/06/2006		
		2007/0105127		Gerngross	05/10/2007		
		2004/0018590		Gerngross et al	01/29/2004		
		2005/0170452		Wildt et al.	08/04/2005		
		2005/0260729		Hamilton	11/24/2005		
		2004/0230042		Hamilton	11/18/2004		
		2005/0208617		Bobrowicz et al.	09/22/2005		
		2004/0171826		Hamilton	09/02/2004		
		2006/0286637		Hamilton	12/21/2006		
		2006/0034829		Gerngross et al.	02/16/2006		
		2006/0024304		Gerngross et al.	02/02/2006		
		2006/0034828		Gerngross et al.	02/16/2006		
		2006/0034830		Gerngross et al.	02/16/2006		
		7029872		Gerngross	04/18/2006		

			FORE	IGN PATENT	T DOCUMENTS	
Foreign Patent Document						Date of Publication of
Examiner Initials*	Cite No.	Office	Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY
			EP 1522590		Glycofi, Inc.	04/13/2005
			EP 1297172		Glycofi, Inc.	11/09/2005
			WO 2004/074498		Hamilton et al.	09/02/2004
			WO 03/056914		Glycofi, Inc.	07/17/2003
			WO 2004/074458		Bobrowicz et al.	09/02/2004
			WO 2004/074461		Bobrowicz et al.	09/02/2004
			WO 2004/074497		Hamilton et al.	09/02/2004
			WO 2004/074499		Gerngross et al.	09/02/2004
			WO 2007/028144		Glycofi, Inc.	03/08/2007
			WO 2000/061739		Kyowa Hakko Kogyo Co., Ltd.	10/19/2000

Examiner Date Considered

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sı	ubstitute for form 1449A/PTO			COMPLETE IF KNOWN		
I	NFORMATION :	DIS	CLOSURE	Application Number	10/616,082	
6	ran Aranan Marikaran 1987	/ A 10		Filing Date	July 8, 203	
3	STATEMENT BY APPLICANT			First Named Inventor	S. Hamilton	
			,	Group Art Unit	1636	
	(use as many sheets as necessary)			Examiner Name	M.K. Joike	
Sheet	2	of	4	Attorney Docket Number	GF0021YIB	

	U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.	U.S. Patent Document	Kind Code known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY			
		2006/0024292		Gerngross et al.	02/02/2006			
	-	7214775		Hanai et al.	05/08/2007			
		7064191		Shinkawa et al.	06/20/2006			
		6410246		Zhu et al.	06/25/2002			
		·		·				
_								
·								

			FORE	GN PATENT D	OCUMENTS		
			Foreign Patent Documer	nt		Date of Publication of	
Examiner Initials*	Cite No.	Office	Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	
			-				
						,	
Examin Signatur	er re				Date Considered		

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

	Substitute for form 1449B/PTO				COMPLETE IF KNOWN		
-	NFORMATION :	DIS	CLOSURE	Application Number	10/616,082		
	יים אינו אינו אינו אינו אינו אינו אינו אינו	7 A TD	DITCANT	Filing Date	July 8, 2003		
2	STATEMENT BY APPLICANT			First Named Inventor	S. Hamilton		
			,	Group Art Unit	1636		
	(use as many sheets	s as n	ecessary)	Examiner Name	M.K. Joike		
Sheet	3	of	4	Attorney Docket Number	GF0021YIB		

		NON PATENT LITERATURE DOCUMENTS
Examiner Initials*	Cite No.	Include name of the author, title, date, page(s), volume-issue number(s) and place of publication.
	AA	Li et al., Nature Biotech., Vol. 24 (2006), pp. 210-215, "Optimization of humanized IgGs in glycoengineered Pichia pastoris"
	AB	Lifely et al., Glycobiology, Vol. 5 (1995), pp. 813-822, "Glycosylation and biological activity of CAMPATH-1H expressed in different cell lines"
	AC	Yamane-Ohnuki et al., Biotech. Bioengin., Vol. 87 (2004), pp. 614-622, "Establishment of FUT8 knockout Chinese hamster ovary cells: An ideal host cell line for producing"
	AD	Tatara et al., J. of Biol. Chem., Vol. 278 (2003), pp. 25289-25294, "Identification of catalytic residues of Ca2+-independent 1,2-alpha-D-mannosidase from Aspergillus saitoi"
	AE	EP 1297172 B1 - Glycode Opposition Brief (French)
	AF	EP 1297172 B1 - Glycode Opposition Brief (English translation)
•	AG	EP 1297172 B1 - Novozyme Opposition Brief
•	ΑН	EP 1297172 B1 - Patentee's Reply to the Notice of Opinion
	ΑI	EP 1297172 B1 - EPO Non-Binding Opinion
	AJ	Fujita et al., Biochem. & Biophys. Res. Comm., Vol. 238 (1997), pp. 779-783, "Five crucial carboxyl resideus of 1,2-alpha-mannosidase from Aspergillus saitoi (A. phoenicis),"
	AK	Schlegel et al., Database GSN Derwent No. ABV29386, XP002293375 (2002)
	AL	Voet et al., Biochemistry (1990), pp. 266-267, Section 10-3. Glycoproteins.
	AM	Strasser et al., Biochem., Vol. 387 (2005), pp. 385-391, "Molecular basis of N-acetylglucosaminyltransferase I deficiency in Arabidopsis thaliana"
	AN	Minowa et al., J. Biol. Chem., Vol. 273 (1998), pp. 11556-11562, "cDNA cloning and expression of bovine UDP-N-acetylglucosamine:"
	AO	Duman et al., Biotechnol. Appl. Biochem., Vol. 28 (1998), pp. 39-45, "O-mannosylation of Pichia pastoris cellular and recombinant proteins"

Examiner Signature	Date Considered	

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Si	ubstitute for form 1449B/PTO			COMPLETE IF KNOWN		
	NFORMATION I	DIS	CLOSURE	Application Number	10/616,082	
C	STATEMENT BY APPLICANT			Filing Date	July 8, 2003	
3				First Named Inventor	S. Hamilton	
			,	Group Art Unit	1636	
	(use as many sheets as necessary)			Examiner Name	M.K. Joike	
Sheet	4	of	4	Attorney Docket Number	GF0021YIB	

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.	Include name of the author, title, date, page(s), volume-issue number(s) and place of publication.				
	AP	Weng et al., Glycobiology, Vol. 6 (1996), pp. 861-868, "Evaluation o the early processing routes of N-linked oligosaccharides of glycoproteins through the characteization of Man8GlcNAc2"				
	AQ	Merriam-Webster, definition of "domain"				
	AR	Lee et al., Biotechnol. Prog., Vol. 13 (1997), pp. 368-373, "Sequential δ-integration for the regulated insertion of cloned genes"				
	AS	Zhu et al., Archives of Biochem. & Biophysics, Vol. 352 (1998), pp. 1-8, "Structural studies of alpha-N-acetylgalactosaminidase: Effect of glycosylation"				
	АТ	Fukuta et al., Glycobiology, Vol. 10 (2000), pp. 421-430, "Remodeling of sugar chain structures of human interferon-γ				
		·				
•						
•						

Examiner Signature	Date Considered	

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.